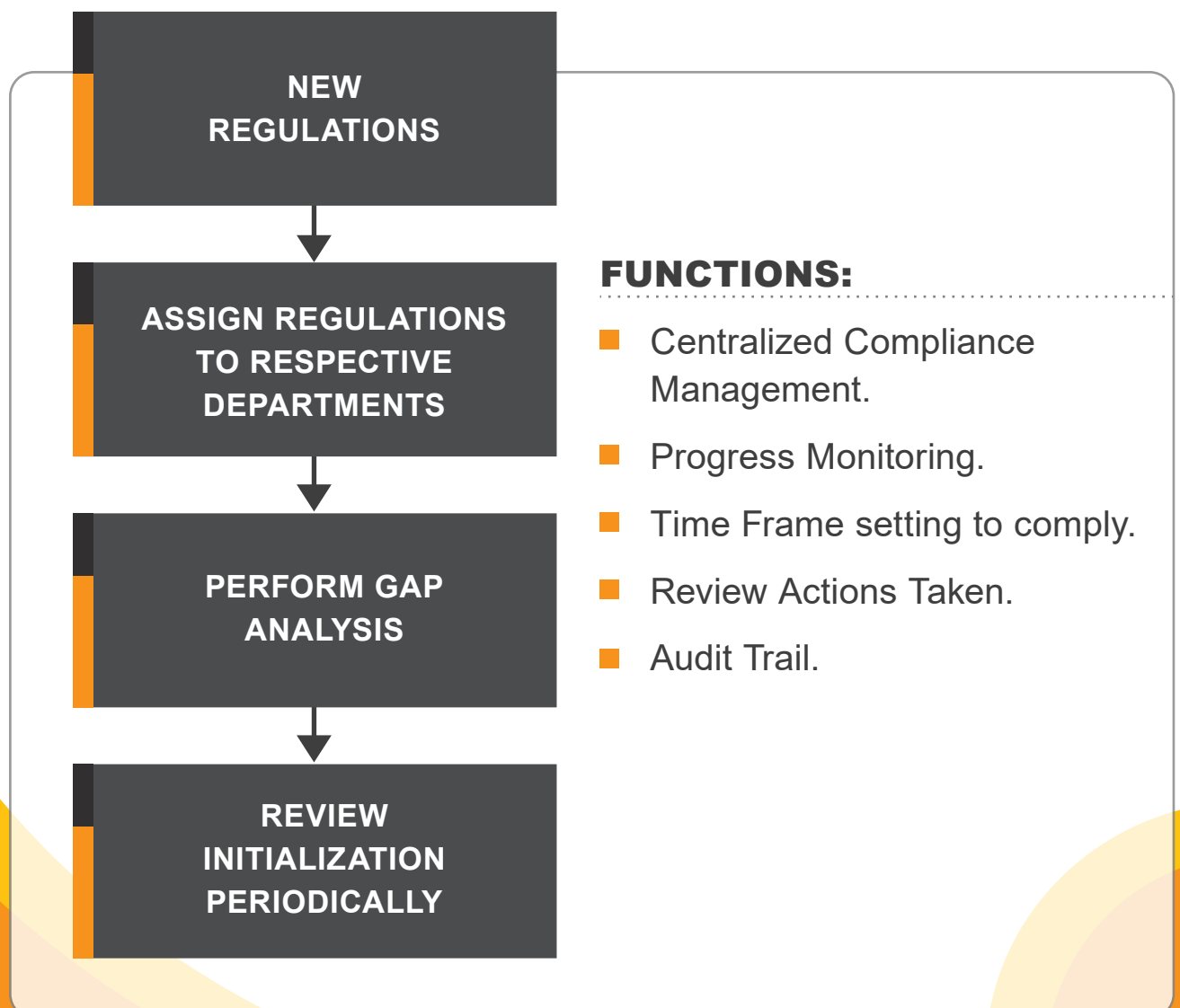


The **Compliance Management System** is a centralized management system for regulation compliance in banks, insurance and financial institutions. The system provides an overview of compliance in the organization to ensure that each department complies with any new or updated regulations from the regulators. The system also provides an overview of the compliance progress and the actions taken by every department. This makes it easy for the organization to be updated with the regulator's requirement, preventing complications and allows the organization to put the focus back into the business and operation.



Centralized Compliance Management System

The centralized system allows the management to check the level of compliance in the organization. Easily accessing the list of regulations for each department to comply, this allows the management to monitor the compliance progress and to share the results during an audit.

Assignment of Regulation

Any new regulation or updates on existing regulation can be handled by the system. The user can upload new regulations and assign individual departments to handle every regulation by breaking it down into paragraphs. The users can update any existing regulations should there be any updates from regulators.

Regulation Maintenance Update

The system provides the regulation maintenance update for any amended regulations. Once the changes have been updated in the system, the changes will be reflected automatically in each department involved.

Tracking Features

The system closely monitors and tracks the regulation compliance for each and every department in the organization. A time frame will be provided for each department to comply with the regulations. The system tracks each department on their performance on compliance of regulations assigned within the given time frame.

Gap Analysis Validation

The system provides gap analysis for all regulations in the system. The users are able to view the actions that has been taken by every department to ensure the organization complies with the new requirement. With a maker and checker policy, every regulation uploaded needs to be approved before it goes into effect.

Audit Trail

The system tracks every users' activities that has been performed in the system. This allows the system to be used for investigation purpose should any users provide inaccurate information on the regulations or inaccurate actions taken by the departments to comply with the regulations.